

# Master Parisien de Recherche en Informatique

Course 2.16 – Finite automata based computation models

4 december 2020 — Exam (1) — Part A

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Internet searches forbidden — Lecture and personal notes allowed.

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The two parts are independent and can be done in any order.

**Notations.** For any set  $X$  of words,  $X^*$  denotes the Kleene star of  $X$  and  $X^+ = XX^*$  denotes the Kleene plus of  $X$ . For any vector or matrix  $A$ ,  $A^T$  denotes its transpose. For any  $n \in \mathbb{N}$ ,  $\mathbf{1}_n$  is the column vector of dimension  $n$  consisting of all ones. We simply write  $\mathbf{1}$  when the dimension is clear. For any vector  $v$ , we let  $v^c = \mathbf{1} - v$  be the complement of  $v$ . For any  $n, m \in \mathbb{N}$ ,  $\mathbf{0}_{n,m}$  denotes the  $n \times m$  matrix consisting of all zeroes. We simply write  $\mathbf{0}$  when the dimensions are clear. For any set  $X \subseteq \mathbb{R}^n$ ,  $\bar{X}$  denotes the topological closure of  $X$  (smallest closed set containing  $X$ ).

## 1 Counting with stochastic language

Let  $\Sigma = \{a\}$  be a unary alphabet. For any  $n \in \mathbb{N}$ , let  $C_n = \{a^n\}$  be the language consisting of a single word  $a^n$ .

- (a1) By using Myhill-Nerode theorem, show that for any  $n \in \mathbb{N}$ , the smallest deterministic complete finite automaton recognizing  $C_n$  has exactly  $n + 2$  states.
- (a2) Let  $\delta \in [0, 1]$ , build a probabilistic automaton  $\mathcal{A}$  with 3 states (including any sink state) such that  $\mathcal{A}(\varepsilon) = 0$  and  $\mathcal{A}(a^\ell) = (1 - \delta)^{\ell-1}\delta$  for any  $\ell \geq 1$ .
- (a3) Modify your automaton (still with 3 states) so that  $\mathcal{A}(a^\ell) = (1 - \delta)^{\ell-1}\delta\ell$  for any  $\ell \geq 1$ .
- (a4) Show that for any  $n \in \mathbb{N}$ , there exists a choice of  $\delta$  such that  $\ell \mapsto \mathcal{A}(a^\ell)$  has unique maximum at  $\ell = n$ .
- (a5) Show that for any  $n \in \mathbb{N}$ , the language  $C_n$  is recognized by a 3-state probabilistic automaton with an isolated cut-point. What is your conclusion?

## 2 Existence of a cut-point in a probabilistic automata

Let  $u \in [0, \frac{1}{4}]$  and let  $D_u \subseteq [0, 1]$  be the smallest set such that  $0 \in D_u$  and if  $x \in D_u$  then  $f_i(u, x) \in D_u$  for all  $i \in \{0, 1, 2, 3\}$ , where  $f_i(u, x) := \frac{1-u}{3}i + ux$ .

- (b1) Show that  $D_u$  is dense in  $[0, 1]$  if  $u = \frac{1}{4}$ .
- (b2) Show that  $D_u$  is not dense in  $[0, 1]$  if  $u < \frac{1}{4}$ . *Hint: show that  $D_u \subseteq [0, \frac{1}{4} - \varepsilon] \cup [\frac{1}{4}, 1]$  for some  $\varepsilon > 0$ .*

Consider the probabilistic automaton  $\mathcal{A}_u = (A, Q, S, T, \mu)$  where  $A = \{0, 1, 2, 3\}$ ,  $Q = \{1, 2\}$ ,  $S = [1 \ 0]$  and  $T = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ .

- (b3) Explain how to choose  $\mu$  so that  $\{\mathcal{A}_u(w) : w \in A^*\} = D_u$ .
- (b4) Show that  $\mathcal{A}_u$  has an isolated cut-point if and only if  $u < \frac{1}{4}$ .

Let  $\mathcal{B}, \mathcal{C}$  be two arbitrary probabilistic automata over some alphabet  $\Sigma$ . Write  $\mathcal{B} = (\Sigma, Q_1, D_1, T_1, \mu_1)$  and  $\mathcal{C} = (\Sigma, Q_2, D_2, T_2, \mu_2)$ . We consider the automaton  $\mathcal{D} = (A', Q', S', T', \mu')$  where  $\Sigma' = \Sigma \cup \{\#\}$  for some fresh  $\# \notin \Sigma$ ,  $Q' = Q_1 \cup Q_2$ ,

$$S' = \frac{1}{\alpha} [(T_1^c)^T \quad (T_2^c)^T], \quad \mu'(\sigma) = \begin{bmatrix} \mu_1(\sigma) & \mathbf{0} \\ \mathbf{0} & \mu_2(\sigma) \end{bmatrix}, \quad \mu'(\#) = \begin{bmatrix} T_1^c & T_1 \\ T_2^c & T_2 \end{bmatrix} \begin{bmatrix} D_1 & \mathbf{0} \\ \mathbf{0} & D_2 \end{bmatrix} = \begin{bmatrix} T_1^c D_1 & T_1 D_2 \\ T_2^c D_1 & T_2 D_2 \end{bmatrix}, \quad T' = \begin{bmatrix} \mathbf{0} \\ \mathbf{1} \end{bmatrix}$$

and  $\alpha = (T_1^c + T_2^c)^T \mathbf{1}$  is such that  $S'$  is stochastic.

(b5) Show that  $\mu'(\#)$  is stochastic. Show that for all  $k \geq 0$  and  $w^{(1)}, \dots, w^{(k)} \in \Sigma^*$ , we have

$$\mathcal{D}(\#w^{(1)}\#w^{(2)}\#\dots\#w^{(k)}\#) = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \prod_{i=1}^k M(w^{(i)}) \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \quad \text{where } M(w) := \begin{bmatrix} 1 - \mathcal{B}(w) & \mathcal{B}(w) \\ 1 - \mathcal{C}(w) & \mathcal{C}(w) \end{bmatrix} \quad \forall w \in \Sigma^*.$$

Let  $\mathcal{B}_i$  and  $\mathcal{C}_i$ , for  $i \in A = \{0, 1, 2, 3\}$ , be arbitrary automata on some alphabet  $\Gamma$  such that  $A \cap \Gamma = \emptyset$ .

(b6) Show that there exist automata  $\mathcal{B}$  and  $\mathcal{C}$  on alphabet  $\Sigma = A \cup \Gamma$  such that for any word  $w \in \Gamma^*$  and  $i \in A$  we have  $\mathcal{B}(iw) = \mathcal{B}_i(w)$  and  $\mathcal{C}(iw) = \mathcal{C}_i(w)$ , and for any word  $w' \notin A\Gamma^*$ , we have  $\mathcal{B}(w') = \mathcal{C}(w') = 0$ .

Let  $\mathcal{E}$  be an arbitrary probabilistic automaton on alphabet  $\Gamma$ .

(b7) Show that there exist automata  $\mathcal{B}_i$  and  $\mathcal{C}_i$  on alphabet  $\Gamma$  such that for all  $i \in A$  and any word  $w \in \Gamma^*$ , we have

$$\mathcal{B}_i(w) = \frac{1 - \mathcal{E}(w)}{3} i, \quad \mathcal{C}_i(w) = \mathcal{E}(w) + \mathcal{B}_i(w).$$

(b8) Show that with this choice of  $\mathcal{B}_i$  and  $\mathcal{C}_i$  (and hence of  $\mathcal{B}$  and  $\mathcal{C}$  as above), for all  $i \in A$ ,  $w \in \Gamma^*$  and  $x \in [0, 1]$  we have

$$\begin{bmatrix} 1 - x & x \end{bmatrix} M(iw) = \begin{bmatrix} 1 - f_i(\mathcal{E}(w), x) & f_i(\mathcal{E}(w), x) \end{bmatrix}.$$

and for all  $w' \notin A\Gamma^*$  we have

$$\begin{bmatrix} 1 - x & x \end{bmatrix} M(w') = \begin{bmatrix} 1 & 0 \end{bmatrix}.$$

(b9) Show that for any  $w \in \Gamma^*$ ,  $D_{\mathcal{E}(w)} \subseteq \{\mathcal{D}(v) : v \in \Sigma'^*\}$ .

(b10) By continuity in  $x$  and the uniform continuity in  $u$  of the  $f_i(u, x)$  on  $[0, 1]^2$ , show that if  $(u_n) \in [0, 1]^{\mathbb{N}}$  converges to some  $u^*$  then  $\overline{\bigcup_{n=0}^{\infty} D_{u_n}}$  contains  $\overline{D_{u^*}}$ . *Hint: show that  $D_{u^*} \subseteq \{x : \exists (s_n)_n \text{ such that } x = \lim_{n \rightarrow \infty} s_n \text{ and } s_n \in D_{u_n} \text{ for all } n\}$ .*

(b11) Show that if  $\frac{1}{4}$  is not an isolated cut-point of  $\mathcal{E}$  then  $\mathcal{D}$  has no isolated cut-points.

(b12) Let  $u < \frac{1}{4}$  and  $D'_u$  be the smallest set such that  $0 \in D'_u$  and for all  $x \in D'_u$ ,  $i \in A$  and  $u' \leq u$ ,  $f_i(u', x) \in D'$ . Show that  $D'_u$  is not dense in  $[0, 1]$ . *Hint: proceed as in question (b2).*

(b13) Show that if there exists  $\varepsilon > 0$  such that  $\mathcal{E}(w) \leq \frac{1}{4} - \varepsilon$  for all  $w \in \Sigma^*$ , then  $\mathcal{D}$  has at least one isolated cut-point. *Hint: show that  $\{\mathcal{D}(w) : w \in \Sigma'^*\} \subseteq D'_u$  for some  $u < \frac{1}{4}$ .*

(b14) Show that the following problem is undecidable: given a probabilistic automaton, decide whether it has at least one isolated cut-point. *Hint: consider applying the construction above to the automaton  $\mathcal{E} = \mathcal{F} \cdot (1 - \mathcal{F})$  for some arbitrary automaton  $\mathcal{F}$ .*

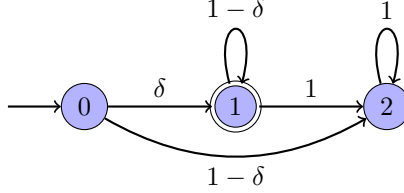
## References

- [Bal14] Kaspars Balodis. *Counting with Probabilistic and Ultrametric Finite Automata*, pages 3–16. Springer International Publishing, Cham, 2014.
- [BMT77] Alberto Bertoni, Giancarlo Mauri, and Mauro Torelli. Some recursively unsolvable problems relating to isolated cutpoints in probabilistic automata. In *International Colloquium on Automata, Languages, and Programming*, pages 87–94. Springer, 1977.

## Solutions to exercises

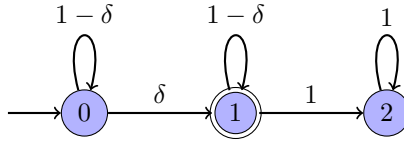
(a1) First observe that for any  $i < j \leq n + 1$ ,  $a^i \not\equiv_L a^j$ , since for  $u = a^{n-i}$  (note that  $i \leq n$  so  $n - i \geq 0$ ),  $a^i u \in C_n$  but  $a^j u \notin L$ . On the other hand, for any  $i \geq n + 1$ ,  $a^{n+1} \equiv_L a^i$  since for all  $u \in \Sigma^*$ ,  $a^{n+1}u \notin L$  and  $a^i u \notin L$ . Hence, there are exactly  $n + 2$  equivalence classes and by Myhill-Nerode theorem, that's exactly the number of states of a minimal DFA recognizing  $C_n$ .

(a2) Consider the following automaton:



It is clear that the probability of  $a^\ell$  being accepted is the probability of going from state 0 to state 1 ( $\delta$ ) and the probability of staying  $\ell - 1$  times state 1 ( $1 - \delta$ ).

(a3) Consider the following automaton:



An accepting run for  $a^\ell$  first stay  $i$  times in state 0 then transitions to state 1 and stays  $\ell - i - 1$  times in state 1. Therefore the probability is

$$\sum_{i=0}^{\ell-1} (1 - \delta)^i \delta (1 - \delta)^{\ell-1-i} = (1 - \delta)^{\ell-1} \delta \sum_{i=0}^{\ell-1} 1 = (1 - \delta)^{\ell-1} \delta \ell.$$

(a4) Let  $f(\ell) = (1 - \delta)^{\ell-1} \delta \ell$ , then  $f'(\ell) = \log(1 - \delta)(1 - \delta)^{\ell-1} \delta \ell + (1 - \delta)^{\ell-1} \delta = (1 - \delta)^{\ell-1} (1 + \ell \log(1 - \delta))$ . Since the derivate at 0 is positive and negative at the infinity, the maximum is attained once at  $\ell$  such that  $1 + \ell \log(1 - \delta) = 0$ . Hence we choose  $\delta$  such that the maximum is attained at  $\ell = n$ , that is  $1 + n \log(1 - \delta) = 0$ , so  $\delta = 1 - e^{-1/n}$ .

(a5) If we let  $\delta = 1 - e^{-1/n}$ , then  $\mathcal{A}(a^\ell)$  is increasing from  $\ell = 0$  to  $\ell = n$  and decreasing from  $\ell = n$  to infinity. Hence if we take  $\lambda = \frac{1}{2}(\mathcal{A}(a^n) + \max(\mathcal{A}(a^{n-1}), \mathcal{A}(a^{n+1})))$  then  $\mathcal{L}_{\mathcal{A}}(\lambda) = C_n$  and by construction  $\lambda$  is isolated. There is a striking comparison between DFAs and PFAs since the former requires  $n$  states to recognize  $C_n$  whereas the latter only requires 3 independently of  $n$ .

(b1) If  $u = \frac{1}{4}$  then  $0 \in D_u$  and for all  $i \in \{0, 1, 2, 3\}$ ,  $f_i(u, x) = \frac{1-u}{3}i + ux = \frac{i+x}{4} \in D_u$ . In other words,  $D_u$  contains of 4-adic rationals which are clearly dense in  $[0, 1]$ .

(b2) Let  $u < \frac{1}{4}$  and  $\varepsilon = \frac{1}{4} - u > 0$ . Let  $X = [0, \frac{1}{4} - \varepsilon] \cup [\frac{1}{4}, 1]$ . Observe that

$$f_0(u, X) = uX \subseteq [0, u] \subseteq [0, \frac{1}{4} - \varepsilon] \subseteq X$$

and for  $i \in \{1, 2, 3\}$ ,

$$f_i(u, X) = \frac{1-u}{3}i + uX \subseteq \frac{1-u}{3}i + [0, u] \subseteq [\frac{1-u}{3}i, 1] \subseteq [\frac{1-u}{3}, 1] \subseteq [\frac{1}{4}, 1]$$

since  $\frac{1-u}{3} \geq \frac{1}{4}$  since  $u < \frac{1}{4}$ . It follows that  $0 \in X$  and  $f_i(X) \subseteq X$ , therefore  $D_u \subseteq X$  since  $D_u$  is the smallest such set. Clearly  $X$  is not dense in  $[0, 1]$  so  $D_u$  is not either.

(b3) Observe that by stochasticity,  $S\mu(w) = [1 - x \quad x]$  for some  $x$  and  $S\mu(w)T = x$ . Hence, we are looking for matrices  $\mu(i)$  such that

$$[1 - x \quad x] \mu(i) = [1 - f_i(u, x) \quad f_i(u, x)].$$

By stochasticity,  $\mu(i)$  is of the form

$$\mu(i) = \begin{bmatrix} 1 - a_i & a_i \\ 1 - b_i & b_i \end{bmatrix}$$

and thus we must have  $f_i(u, x) = \frac{1-u}{3}i + ux = a_i(1 - x) + b_i x$ . Hence we put  $a_i = \frac{1-u}{3}i$  and  $b_i = u + a_i$  which are indeed in  $[0, 1]$ .

(b4) By the previous question, if  $u = \frac{1}{4}$  then  $\{\mathcal{A}_u(w) : w \in A^*\} = D_u$  is dense in  $[0, 1]$  so it has no isolated cut-point. Conversely, if  $u < \frac{1}{4}$  then  $D_u$  is not dense in  $[0, 1]$  so its complement contains an open interval  $I$  and therefore  $\mathcal{A}_u$  has an isolated cut-point (the middle of the interval  $I$ ).

(b5)  $\mu'(c)$  is the product of two stochastic (non-rectangular) matrices. Let  $w^{(1)}, \dots, w^{(k)} \in A^*$ , then

$$\mathcal{D}(\#c^{(1)}\#c^{(2)}c \dots \#c^{(k)}c) = S' \mu'(\#) \mu'(w^{(1)}) \mu'(\#) \dots \mu'(\#) \mu'(w^{(k)}) \mu'(\#) = S' \begin{bmatrix} T_1^c & T_1 \\ T_2^c & T_2 \end{bmatrix} A_1 \dots A_k \begin{bmatrix} D_1 & \mathbf{0} \\ \mathbf{0} & D_2 \end{bmatrix} T'$$

where

$$\begin{aligned} A_i &= \begin{bmatrix} D_1 & \mathbf{0} \\ \mathbf{0} & D_2 \end{bmatrix} \begin{bmatrix} \mu_1(w^{(i)}) & \mathbf{0} \\ \mathbf{0} & \mu_2(w^{(i)}) \end{bmatrix} \begin{bmatrix} T_1^c & T_1 \\ T_2^c & T_2 \end{bmatrix} \\ &= \begin{bmatrix} D_1 \mu_1(w^{(i)}) & \mathbf{0} \\ \mathbf{0} & D_2 \mu_2(w^{(i)}) \end{bmatrix} \begin{bmatrix} T_1^c & T_1 \\ T_2^c & T_2 \end{bmatrix} \\ &= \begin{bmatrix} D_1 \mu_1(w^{(i)}) T_1^c & D_1 \mu_1(w^{(i)}) T_1 \\ D_2 \mu_2(w^{(i)}) T_2^c & D_2 \mu_2(w^{(i)}) T_2 \end{bmatrix} \\ &= \begin{bmatrix} 1 - \mathcal{B}(w^{(i)}) & \mathcal{B}(w^{(i)}) \\ 1 - \mathcal{C}(w^{(i)}) & \mathcal{C}(w^{(i)}) \end{bmatrix}. \end{aligned}$$

Furthermore,

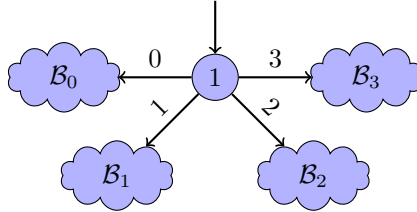
$$S' \begin{bmatrix} T_1^c & T_1 \\ T_2^c & T_2 \end{bmatrix} = \frac{1}{\alpha} [(T_1^c)^T \quad (T_2^c)^T] \begin{bmatrix} T_1^c & T_1 \\ T_2^c & T_2 \end{bmatrix} = \frac{1}{\alpha} [(T_1^c)^T T_1^c + (T_2^c)^T T_2^c \quad (T_1^c)^T T_1 + (T_2^c)^T T_2] = [1 \quad 0].$$

Indeed, if  $v \in \{0, 1\}^n$  then  $v^T v^c = 0$  and  $v^T v = v^T (\mathbf{1} - v^c) = v^T \mathbf{1} - v^T v^c = v^T \mathbf{1}$ , therefore  $(T_1^c)^T T_1^c + (T_2^c)^T T_2^c = (T_1^c)^T \mathbf{1} + (T_2^c)^T \mathbf{1} = \alpha$ . Finally,

$$\begin{bmatrix} D_1 & \mathbf{0} \\ \mathbf{0} & D_2 \end{bmatrix} T' = \begin{bmatrix} D_1 & \mathbf{0} \\ \mathbf{0} & D_2 \end{bmatrix} \begin{bmatrix} \mathbf{0} \\ \mathbf{1} \end{bmatrix} = \begin{bmatrix} D_1 \mathbf{0} \\ D_2 \mathbf{1} \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

by stochasticity of  $D_1$  and  $D_2$ . This shows the result.

(b6) Consider the automaton  $\mathcal{B}$  below:



It is sub-stochastic and can be made stochastic with a sink state. Clearly  $\mathcal{B}(\varepsilon) = 0$  and  $\mathcal{B}(iw) = \mathcal{B}_i(w)$  for all  $w \in \Gamma^*$ . Furthermore, if  $w \notin \Gamma^*$  then  $\mathcal{B}(iw) = 0$  since there  $\mathcal{B}_i$  only has letters labelled by  $\Gamma$  (ie the transition will lead to the sink state). Finally, if the first letter is not in  $A$ , it will also lead to a sink state. The construction for  $\mathcal{C}$  is exactly the same.

(b7) For each  $i$ ,  $1 - \mathcal{E}(w)$  corresponds to the complement and multiplying by  $\frac{i}{3}$  can be done trivially by a convex combination of  $1 - \mathcal{E}(w)$  and the automaton that has constant probability 0 for all words. Hence  $\mathcal{B}_i$  is immediately seen to be a probabilistic automaton. Similarly, observe that  $\mathcal{C}_i(w) = \frac{i}{3} + (1 - \frac{i}{3})\mathcal{E}(w)$  and hence is also a convex combination of  $\mathcal{E}$  and the automaton that has constant probability 1.

(b8) By the previous questions, for all  $i \in A$  and  $w \in \Gamma^*$  we have ( $\star$  denotes that the value is computed by stochasticity)

$$\begin{aligned} [1 - x \quad x] M(iw) &= [1 - x \quad x] \begin{bmatrix} 1 - \mathcal{B}(iw) & \mathcal{B}(iw) \\ 1 - \mathcal{C}(iw) & \mathcal{C}(iw) \end{bmatrix} \\ &= [1 - x \quad x] \begin{bmatrix} 1 - \mathcal{B}_i(w) & \mathcal{B}_i(w) \\ 1 - \mathcal{C}_i(w) & \mathcal{C}_i(w) \end{bmatrix} \\ &= [\star \quad (1 - x)\mathcal{B}_i(w) + \mathcal{C}_i(w)] \\ &= [\star \quad \mathcal{B}_i(x) + (\mathcal{C}_i(w) - \mathcal{B}_i(w))x] \end{aligned}$$

$$\begin{aligned}
&= \left[ \star \quad \frac{1-\mathcal{E}(w)}{3}i + \mathcal{E}(w)x \right] \\
&= \left[ \star \quad f_i(\mathcal{E}(w), x) \right].
\end{aligned}$$

For any  $w' \notin A\Gamma^*$ , we have

$$\begin{aligned}
[1-x \quad x] M(w') &= [1-x \quad x] \begin{bmatrix} 1 - \mathcal{B}(w') & \mathcal{B}(w') \\ 1 - \mathcal{C}(w') & \mathcal{C}(w') \end{bmatrix} \\
&= [1-x \quad x] \begin{bmatrix} 1 & 0 \\ 1 & 0 \end{bmatrix} \\
&= [1 \quad 0].
\end{aligned}$$

**(b9)** We show a slightly stronger result. Let  $X = \{\mathcal{D}(v) : v \in \sharp(\Sigma^*\sharp)^*\}$ , then  $0 \in X$  since  $\mathcal{D}(\sharp) = 0$  by the calculations of question **(b5)**. Furthermore, if  $x \in X$  then there exists  $v \in \sharp(\Sigma^*\sharp)^*$  such that  $S'\mu'(v) = [1-x \quad x]$ . But then, by question **(b5)** and question **(b8)**, for every  $i \in A$ ,

$$\mathcal{D}(v iw \sharp) = S'\mu'(v iw \sharp) T' = [1-x \quad x] M(iw) \begin{bmatrix} 0 \\ 1 \end{bmatrix} = [1 - f_i(\mathcal{E}(w), x) \quad f_i(\mathcal{E}(w), x)] \begin{bmatrix} 0 \\ 1 \end{bmatrix} = f_i(\mathcal{E}(w), x).$$

It follows that  $f_i(\mathcal{E}(w), x) \in X$ . But  $D_{\mathcal{E}(w)}$  is the smallest set that is stable under those operations, hence  $D_{\mathcal{E}(w)} \subseteq X$ .

**(b10)** Let  $X = \{x : \exists (s_n)_n \text{ such that } x = \lim_{n \rightarrow \infty} s_n \text{ and } s_n \in D_{u_n} \text{ for all } n\}$ . Clearly  $X \subseteq Y := \overline{\bigcup_{n=0}^{\infty} D_{u_n}}$ . Therefore if we show that  $D_{u^*} \subseteq X$ , we will have  $D_{u^*} \subseteq Y$  and hence  $\overline{D_{u^*}} \subseteq \overline{Y} = Y$  since  $Y$  is closed; which shows the result.

It remains to see that  $D_{u^*} \subseteq X$ : clearly  $0 \in X$  since  $0 \in D_{u_n}$  for all  $n$ . Let  $x \in X$  and write  $x = \lim_{n \rightarrow \infty} s_n$  where  $s_n \in D_{u_n}$ . Then that for any  $i \in A$ ,

$$f_i(u^*, x) = f_i(u^*, \lim_n s_n) = \lim_n f_i(u^*, s_n)$$

by continuity of  $f_i$ . Furthermore, by the uniform continuity of  $f_i$  (continuity of the compact set  $[0, 1]^2$ ), there exists  $\alpha$  such that for any  $n$ ,  $|f_i(u^*, s_n) - f_i(u_n, s_n)| \leq \alpha|u^* - u_n|$ . Hence we can write  $f_i(u^*, s_n) = f_i(u_n, s_n) + \varepsilon_n$  where  $|\varepsilon_n| \leq \alpha|u^* - u_n| \rightarrow 0$  as  $n \rightarrow \infty$ . Now let  $s'_n = f_i(u_n, s_n)$ , then  $s'_n \in D_{u_n}$  since  $s_n \in D_{u_n}$  and  $(s'_n)_n$  has a limit since  $s'_n = f_i(u^*, s_n) - \varepsilon_n \rightarrow f_i(u^*, s)$  as shown above. Therefore,

$$f_i(u^*, x) = \lim_n f_i(u^*, s_n) = \lim_n s'_n + \varepsilon_n = \lim_s s'_n \in X.$$

This shows that  $X$  is stable under the application of the  $f_i(u^*, \cdot)$ , hence it contains  $D_{u^*}$  which is the smallest set to satisfy this condition.

**(b11)** If  $\frac{1}{4}$  is not isolated then there exists a sequence  $(w_n)_n$  of words such that  $\mathcal{E}(w_n) \rightarrow \frac{1}{4}$ . But then by the previous questions, we have

$$\bigcup_n D_{\mathcal{E}(w_n)} \subseteq \{\mathcal{D}(v) : v \in \Gamma'^*\}$$

and hence

$$\overline{D_{1/4}} \subseteq \overline{\bigcup_n D_{\mathcal{E}(w_n)}} \subseteq \overline{\{\mathcal{D}(v) : v \in \Gamma'^*\}}.$$

But  $\overline{D_{1/4}} = [0, 1]$  by question **(b1)**, hence  $\overline{\{\mathcal{D}(v) : v \in \Gamma'^*\}} = [0, 1]$  and therefore  $\mathcal{D}$  cannot have an isolated cut-point.

**(b12)** The proof is essentially the same as in question **(b2)**. Let  $\varepsilon = \frac{1}{4} - u > 0$  and  $X = [0, \frac{1}{4} - \varepsilon] \cup [\frac{1}{4}, 1]$ . Observe that for all  $u' \leq u$ ,

$$f_0(u', X) = u'X \subseteq [0, u'] \subseteq [0, u] \subseteq [0, \frac{1}{4} - \varepsilon] \subseteq X$$

and for  $i \in \{1, 2, 3\}$ ,

$$f_i(u', X) = \frac{1-u'}{3}i + u'X \subseteq \frac{1-u'}{3}i + [0, u'] \subseteq [\frac{1-u'}{3}i, 1] \subseteq [\frac{1-u'}{3}, 1] \subseteq [\frac{1}{4}, 1]$$

since  $\frac{1-u'}{3} \geq \frac{1}{4}$  since  $u' \leq u < \frac{1}{4}$ . It follows that  $0 \in X$  and  $f_i(X) \subseteq X$ , therefore  $D'_u \subseteq X$  since  $D'_u$  is the smallest such set. Clearly  $X$  is not dense in  $[0, 1]$  so  $D'_u$  is not either.

**(b13)** Let  $X = \{\mathcal{D}(w) : w \in \Sigma'^*\}$ . By questions **(b5)** and **(b8)**, we have that every  $x \in X$  is either 0 or of the form  $f_i(\mathcal{E}(v), x')$  for some  $v \in \Gamma^*$  and  $x' \in X$ . But  $\mathcal{E}(v) \leq \frac{1}{4} - \varepsilon$ , hence by letting  $u = \frac{1}{4} - \varepsilon < \frac{1}{4}$ , we get that  $X \subseteq X'_u$ . It follows by question **(b12)** that  $X$  is not dense in  $[0, 1]$ . Consequently, there is an open interval  $I$  in  $[0, 1]$  that does not intersect  $X$  and the center of this interval is an isolated cut-point of  $\mathcal{D}$ .

**(b14)** We show that the problem is undecidable by reducing from the problem of deciding whether  $\frac{1}{2}$  is an isolated cut-point of a given automaton. We will show the result since the latter is an undecidable problem.

Let  $\mathcal{F}$  be any automaton on alphabet  $\Gamma$ . We can build  $\mathcal{E}$  such that  $\mathcal{E}(w) = \mathcal{F}(w) \cdot (1 - \mathcal{F}(w))$  for all  $w \in \Gamma^*$  by the product construction. Then observe that  $\mathcal{E}(w) \leq \frac{1}{4}$  for all  $w$ . Furthermore,  $\frac{1}{4}$  is isolated for  $\mathcal{E}$  if and only if  $\frac{1}{2}$  is isolated for  $\mathcal{F}$ . We now build  $\mathcal{D}$  as done in the questions above. By questions **(b11)** and **(b13)**, we have that  $\mathcal{D}$  has an isolated cut-point if and only if  $\frac{1}{4}$  is isolated for  $\mathcal{E}$ . Therefore we have reduced the problem of whether  $\frac{1}{2}$  is isolated for  $\mathcal{F}$  to the problem of deciding whether  $\mathcal{D}$  has an isolated cut-point.