

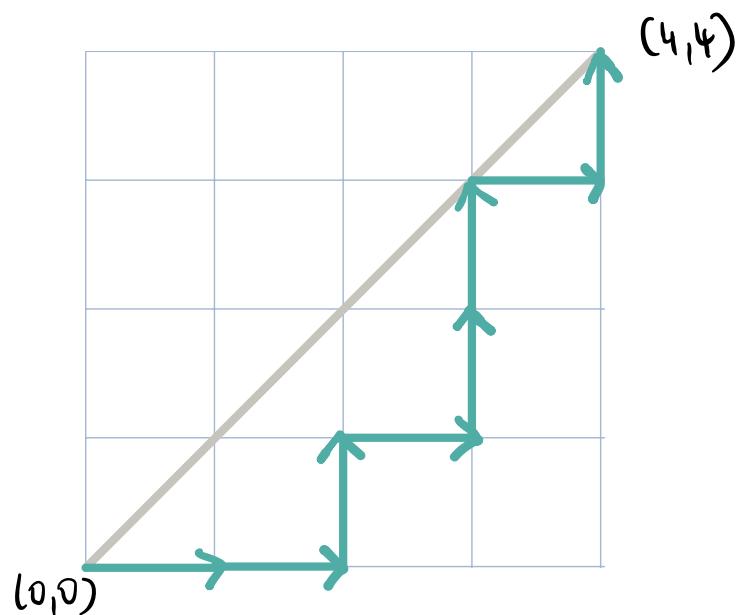
# The Membership Problem for Hypergeometric Sequences with Rational Parameters

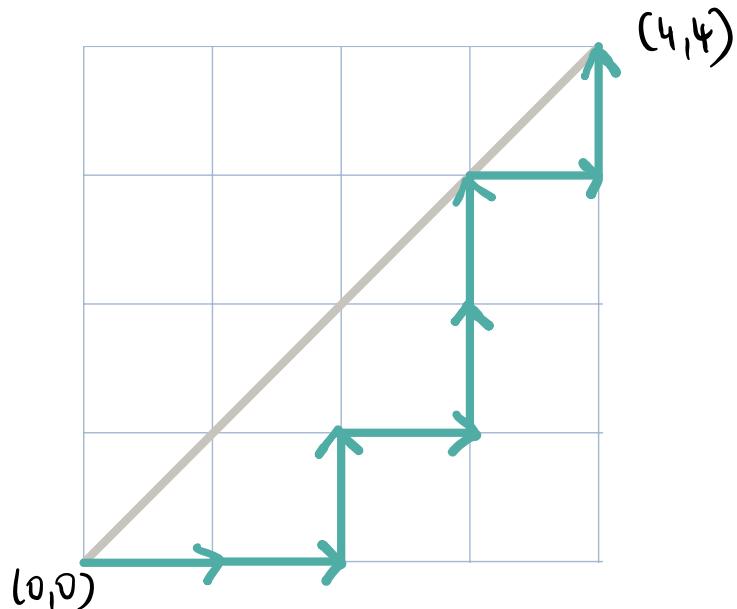
ISSAC 2022 – Lille, France

Klara Nosan

IRIF, CNRS, Université Paris Cité

Amaury Pouly, Mahsa Shirmohammadi, James Worrell

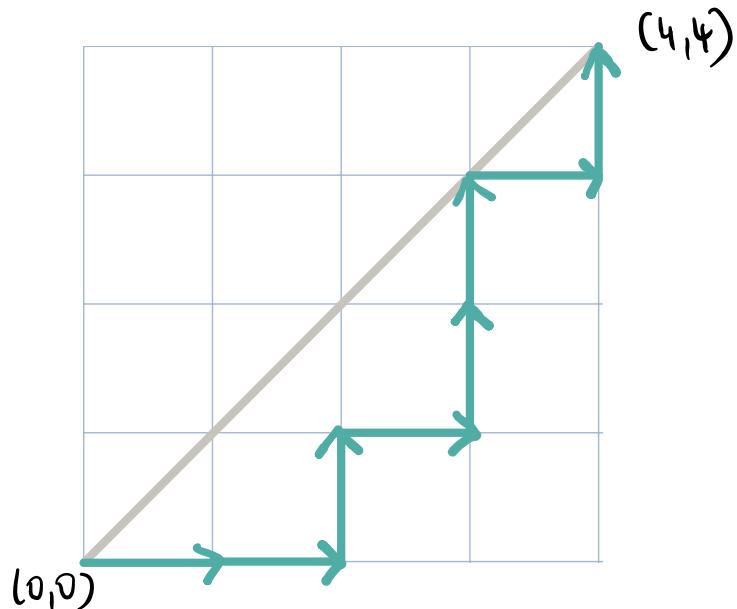




Catalan numbers :

1, 1, 2, 5, 14, 42, 132, 429, 1430, 4862, 16796, ...

$$C_n = \frac{1}{n+1} \binom{2n}{n}$$



Catalan numbers :

1, 1, 2, 5, 14, 42, 132, 429, 1430, 4862, 16796, ...

$$C_n = \frac{1}{n+1} \binom{2n}{n}$$

$$C_0 = 1 \quad \text{and} \quad C_{n+1} = \frac{2(2n+1)}{n+2} C_n$$

# Hypergeometric sequences

\* A HYPERGEOMETRIC SEQUENCE is a sequence  $\langle u_0, u_1, u_2, \dots \rangle$  of rational numbers satisfying a recurrence of the form

$$P(n)u_n - Q(n)u_{n-1} = 0$$



POLYNOMIALLY RECURSIVE

# Hypergeometric sequences

\* A HYPERGEOMETRIC SEQUENCE is a sequence  $\langle u_0, u_1, u_2, \dots \rangle$  of rational numbers satisfying a recurrence of the form

$$P(n)u_n - Q(n)u_{n-1} = 0$$

↓                    ↓  
POLYNOMIALLY    RECURSIVE

$$u_n = r(n)u_{n-1}$$

shift quotient

$$r(x) = \frac{P(x)}{Q(x)}$$

$$\langle u_n \rangle_{n=0}^{\infty}$$

$$u_0 = 1$$

$$r(x) = \frac{(x + \frac{9}{2})(x + \frac{7}{2})(x + \frac{5}{2})}{(x + \frac{11}{2})(x + 4)(x + 1)}$$

$$1, 1.333, 1.588, 1.789, 1.951, 2.084, 2.195, \dots$$

$$\langle u_n \rangle_{n=0}^{\infty}$$

$$u_0 = 1$$

$$r(x) = \frac{(x + \frac{9}{2})(x + \frac{7}{2})(x + \frac{5}{2})}{(x + \frac{11}{2})(x + 4)(x + 1)}$$

$$1, 1.333, 1.588, 1.789, 1.951, 2.084, 2.195, \dots$$

Does the value  $\frac{13}{6} \cong 2.167$  appear in the sequence?

$$\langle u_n \rangle_{n=0}^{\infty}$$

$$u_0 = 1$$

$$r(x) = \frac{(x + \frac{9}{2})(x + \frac{7}{2})(x + \frac{5}{2})}{(x + \frac{11}{2})(x + 4)(x + 1)}$$

$$1, 1.333, 1.588, 1.789, 1.951, 2.084, 2.195, \dots$$

Does the value  $\frac{13}{6} \cong 2.167$  appear in the sequence?

Prob. (MP)

Given  $u_0 \in \mathbb{Q}$ ,  $r(x) \in \mathbb{Q}(x)$  and  $t \in \mathbb{Q}$ :

$\exists n$  s.t.  $u_n = t$  ?

# LRS $\langle w_n \rangle_{n=0}^{\infty}$

Thm. (Skolem - Mahler - Lech)

- The set of zeros of  $\langle w_n \rangle_{n=0}^{\infty}$  is a union of finitely many arithmetic progressions and a finite set.

# LRS $\langle w_n \rangle_{n=0}^{\infty}$

Thm. (Skolem - Mahler - Lech)

The set of zeros of  $\langle w_n \rangle_{n=0}^{\infty}$  is a union of finitely many arithmetic progressions and a finite set.

Prob. (Skolem)

$$\exists n \in \mathbb{N} \text{ s.t. } w_n = 0 ?$$

# LRS $\langle w_n \rangle_{n=0}^{\infty}$

Thm. (Skolem - Mahler - Lech)

The set of zeros of  $\langle w_n \rangle_{n=0}^{\infty}$  is a union of finitely many arithmetic progressions and a finite set.

Prob. (Skolem)

$$\exists n \in \mathbb{N} \text{ s.t. } w_n = 0 ?$$

order  $\leq 4$ : decidable

(Vereshchagin '85 & Mignotte, Shorey, Tijdeman '84)

order  $\leq 5$ : open!

# LRS $\langle w_n \rangle_{n=0}^{\infty}$

Thm. (Skolem - Mahler - Lech)

- The set of zeros of  $\langle w_n \rangle_{n=0}^{\infty}$  is a union of finitely many arithmetic progressions and a finite set.

Prob. (Skolem)

$$\exists n \in \mathbb{N} \text{ s.t. } w_n = 0 ?$$

order  $\leq 4$ : decidable

(Vereshchagin '85 & Mignotte, Shorey, Tijdeman '84)

order  $\leq 5$ : open!

# PRF $\langle u_n \rangle_{n=0}^{\infty}$

Thm. (Bell, Burris, Yeats '12)

- The set of zeros of  $\langle u_n \rangle_{n=0}^{\infty}*$  is a union of finitely many arithmetic progressions and a finite set.

\* side condition:  $P_d(x) = \text{const.}$

# LRS $\langle w_n \rangle_{n=0}^{\infty}$

Thm. (Skolem - Mahler - Lech)

- The set of zeros of  $\langle w_n \rangle_{n=0}^{\infty}$  is a union of finitely many arithmetic progressions and a finite set.

Prob. (Skolem)

$$\exists n \in \mathbb{N} \text{ s.t. } w_n = 0 ?$$

order  $\leq 4$ : decidable

(Vereshchagin '85 & Mignotte, Shorey, Tijdeman '84)

order  $\leq 5$ : open!

# PRS $\langle u_n \rangle_{n=0}^{\infty}$

Thm. (Bell, Burris, Yeats '12)

- The set of zeros of  $\langle u_n \rangle_{n=0}^{\infty}*$  is a union of finitely many arithmetic progressions and a finite set.

Prob.

$$\exists n \in \mathbb{N} \text{ s.t. } u_n = 0 ?$$

\* side condition:  $P_d(x) = \text{const.}$

# LRS $\langle w_n \rangle_{n=0}^{\infty}$

Thm. (Skolem - Mahler - Lech)

- The set of zeros of  $\langle w_n \rangle_{n=0}^{\infty}$  is a union of finitely many arithmetic progressions and a finite set.

Prob. (Skolem)

$$\exists n \in \mathbb{N} \text{ s.t. } w_n = 0 ?$$

order  $\leq 4$ : decidable

(Vereshchagin '85 & Mignotte, Shorey, Tijdeman '84)

order 5: open!

# PRS $\langle u_n \rangle_{n=0}^{\infty}$

Thm. (Bell, Burris, Yeats '12)

- The set of zeros of  $\langle u_n \rangle_{n=0}^{\infty}*$  is a union of finitely many arithmetic progressions and a finite set.

Prob.

$$\exists n \in \mathbb{N} \text{ s.t. } u_n = 0 ?$$

order 1: trivial

order 2: open!

\* side condition:  $P_d(x) = \text{const.}$

# LRS $\langle w_n \rangle_{n=0}^{\infty}$

Thm. (Skolem - Mahler - Lech)

- The set of zeros of  $\langle w_n \rangle_{n=0}^{\infty}$  is a union of finitely many arithmetic progressions and a finite set.

Prob. (Skolem)

$$\exists n \in \mathbb{N} \text{ s.t. } w_n = 0 ?$$

order  $\leq 4$ : decidable

(Vereshchagin '85 & Mignotte, Shorey, Tijdeman '84)

order 5: open!

# PRS $\langle u_n \rangle_{n=0}^{\infty}$

Thm. (Bell, Burris, Yeats '12)

- The set of zeros of  $\langle u_n \rangle_{n=0}^{\infty}*$  is a union of finitely many arithmetic progressions and a finite set.

Prob.

$$\exists n \in \mathbb{N} \text{ s.t. } u_n = 0 ?$$

order 1: trivial

sum of two HS  $\leftrightarrow$  MP

order 2: open!

\* side condition:  $P_d(x) = \text{const.}$

# Decidability

Thm.

The Membership Problem for hypergeometric sequences  
with rational parameters is decidable.

# Decidability

Thm.

The Membership Problem for hypergeometric sequences with rational parameters is decidable.

Find  $M \in \mathbb{N}$  s.t. for all  $n > M$ :

$$u_n \neq t.$$

MP



finite search in  
 $\{u_0, \dots, u_M\}$

# Decidability

Thm.

The Membership Problem for hypergeometric sequences with rational parameters is decidable.

Find  $M \in \mathbb{N}$  s.t. for all  $n > M$ :

$$u_n \neq t.$$

How?

Divisibility by primes!

MP



finite search in  
 $\{u_0, \dots, u_M\}$

The problem is (almost) trivial ...

$r(x)$  as  $x \rightarrow \infty$  converges to  $\ell \in \mathbb{Q} \cup \{\pm\infty\}$

The problem is (almost) trivial ...

$r(x)$  as  $x \rightarrow \infty$  converges to  $\ell \in \mathbb{Q} \cup \{\pm\infty\}$

•  $\ell \neq \pm 1$ :

$\exists M \in \mathbb{N}$  s.t.

$$|u_n| = |u_0 \prod_{k=1}^n r(k)| > |\ell| \quad \text{for all } n \geq M$$

The problem is (almost) trivial ...

$r(x)$  as  $x \rightarrow \infty$  converges to  $\ell \in \mathbb{Q} \cup \{\pm\infty\}$

✿  $\ell \neq \pm 1$ :

$\exists M \in \mathbb{N}$  s.t.

$$|u_n| = |u_0 \prod_{k=1}^n r(k)| > |\ell| \quad \text{for all } n \geq M$$

✿  $\ell = \pm 1$ :

divisibility by primes

$$\langle u_n \rangle_{n=0}^{\infty}$$

$$u_0 = 1$$

$$r(x) = \frac{(x + \frac{9}{2})(x + \frac{7}{2})(x + \frac{5}{2})}{(x + \frac{11}{2})(x + 4)(x + 1)}$$



$$\exists n \in \mathbb{N} \text{ s.t. } u = \frac{13}{6} ?$$

$$\frac{13}{6} \approx 2.167$$

1, 1.333, 1.588, 1.789, 1.951, 2.084, 2.195, ...

$$\langle u_n \rangle_{n=0}^{\infty}$$

$$u_0 = 1$$

$$r(x) = \frac{(x + \frac{9}{2})(x + \frac{7}{2})(x + \frac{5}{2})}{(x + \frac{11}{2})(x + 4)(x + 1)}$$

:  
 :  
 : : :  $\exists n \in \mathbb{N}$  s.t.  $u = \frac{13}{6}$  ? : : : :  
 :  
 :

$$\frac{13}{6} \approx 2.167$$

1, 1.333, 1.588, 1.789, 1.951, 2.084, 2.195, ...

$$\lim_{n \rightarrow \infty} u_n = \frac{3 \cdot 2^5}{5\pi} \neq \frac{13}{6}$$

# A number theoretical diversion

$$u_n = u_0 \prod_{k=1}^n r(k) = u_0 \prod_{k=1}^n \frac{(k+\alpha_1) \cdots (k+\alpha_d)}{(k+\beta_1) \cdots (k+\beta_d)}$$

$n \rightarrow \infty ?$

# A number theoretical diversion

$$u_n = u_0 \prod_{k=1}^n r(k) = u_0 \prod_{k=1}^n \frac{(k+\alpha_1) \cdots (k+\alpha_d)}{(k+\beta_1) \cdots (k+\beta_d)}$$

$n \rightarrow \infty ?$

$$\Gamma(z) = \frac{1}{z} \prod_{n=1}^{\infty} \frac{n}{n+z} \left(1 + \frac{1}{z}\right)^z$$

$$\Gamma(n) = (n-1)! \text{ for } n \in \mathbb{N}$$

# A number theoretical diversion

$$u_n = u_0 \prod_{k=1}^n r(k) = u_0 \prod_{k=1}^n \frac{(k+\alpha_1) \cdots (k+\alpha_d)}{(k+\beta_1) \cdots (k+\beta_d)}$$

$n \rightarrow \infty ?$

$$\Gamma(z) = \frac{1}{z} \prod_{n=1}^{\infty} \frac{n}{n+z} \left(1 + \frac{1}{z}\right)^z$$

$$\Gamma(n) = (n-1)! \text{ for } n \in \mathbb{N}$$

Prop.

- Let  $\alpha_1, \dots, \alpha_d, \beta_1, \dots, \beta_d \in \mathbb{C} \setminus \mathbb{Z}_{\leq 0}$ .

- If  $\alpha_1 + \dots + \alpha_d = \beta_1 + \dots + \beta_d$  then

$$\prod_{k=1}^{\infty} \frac{(k+\alpha_1) \cdots (k+\alpha_d)}{(k+\beta_1) \cdots (k+\beta_d)} = \frac{\beta_1 \cdots \beta_d}{\alpha_1 \cdots \alpha_d} \frac{\Gamma(\alpha_1) \cdots \Gamma(\alpha_d)}{\Gamma(\beta_1) \cdots \Gamma(\beta_d)}$$

- otherwise the infinite product diverges.

# A number theoretical diversion

Prop.

- The Membership Problem for hypergeometric sequences
- reduces to deciding, given  $\alpha_1, \dots, \alpha_d$  and
- $(\beta_1, \dots, \beta_d) \in \mathbb{R}^d \setminus \mathbb{Z}_{\leq 0}$ , whether

$$\Gamma(\beta_1) \cdots \Gamma(\beta_d) = \Gamma(\alpha_1) \cdots \Gamma(\alpha_d)$$

# A number theoretical diversion

Prop.

- The Membership Problem for hypergeometric sequences
- reduces to deciding, given  $\alpha_1, \dots, \alpha_d$  and
- $\beta_1, \dots, \beta_d \in \mathbb{R} \setminus \mathbb{Z}_{\leq 0}$ , whether

$$\Gamma(\beta_1) \cdots \Gamma(\beta_d) = \Gamma(\alpha_1) \cdots \Gamma(\alpha_d)$$

Values of the Gamma function?

# A number theoretical diversion

Prop.

- The Membership Problem for hypergeometric sequences reduces to deciding, given  $\alpha_1, \dots, \alpha_d$  and  $\beta_1, \dots, \beta_d \in \mathbb{R} \setminus \mathbb{Z}_{\leq 0}$ , whether

$$\Gamma(\beta_1) \cdots \Gamma(\beta_d) = \Gamma(\alpha_1) \cdots \Gamma(\alpha_d)$$

Values of the Gamma function?

$$\frac{\Gamma\left(\frac{1}{14}\right) \Gamma\left(\frac{9}{14}\right) \Gamma\left(\frac{11}{14}\right)}{\Gamma\left(\frac{3}{14}\right) \Gamma\left(\frac{5}{14}\right) \Gamma\left(\frac{13}{14}\right)} = 2$$

# A number theoretical diversion

Prop.

- The Membership Problem for hypergeometric sequences reduces to deciding, given  $\alpha_1, \dots, \alpha_d$  and  $\beta_1, \dots, \beta_d \in \mathbb{R} \setminus \mathbb{Z}_{\leq 0}$ , whether

$$\Gamma(\beta_1) \cdots \Gamma(\beta_d) = \Gamma(\alpha_1) \cdots \Gamma(\alpha_d)$$

Values of the Gamma function?

$$\frac{\Gamma(\frac{1}{14}) \Gamma(\frac{9}{14}) \Gamma(\frac{11}{14})}{\Gamma(\frac{3}{14}) \Gamma(\frac{5}{14}) \Gamma(\frac{13}{14})} = 2$$

Conj. (Rohrlich - Lang '78)

- Any multiplicative relation of Gamma values on rational points that is algebraic is a consequence of the standard relations translation, reflection, multiplication.

# Using divisibility

Find  $M \in \mathbb{N}$  s.t. for all  $n > M$ ,  $a_n \neq t$ .

# Using divisibility

Find  $M \in \mathbb{N}$  s.t. for all  $n > M$ ,  $a_n \neq t$ .



p-adic valuation

$$v_p : \mathbb{Q} \rightarrow \mathbb{Z} \cup \{\infty\}$$

$$v_p(x) := k \text{ if } x = p^k \frac{a}{b} \text{ and } p \nmid ab$$

$$v_p(0) := \infty$$

# Using divisibility

Find  $M \in \mathbb{N}$  s.t. for all  $n > M$ ,  $a_n \neq t$ .

## p-adic valuation

$$v_p : \mathbb{Q} \rightarrow \mathbb{Z} \cup \{\infty\}$$

$$v_3\left(\frac{36}{5}\right) = v_3\left(9 \cdot \frac{4}{5}\right) = 2$$

$$v_p(x) := k \text{ if } x = p^k \frac{a}{b} \text{ and } p \nmid ab$$

$$v_p(0) := \infty$$

$$v_p(a \cdot b) = v_p(a) + v_p(b)$$

# Using divisibility

Find  $M \in \mathbb{N}$  s.t. for all  $n > M$ ,  $a_n \neq t$ .

♣ p-adic valuation

$$v_p : \mathbb{Q} \rightarrow \mathbb{Z} \cup \{\infty\}$$

$$v_3\left(\frac{36}{5}\right) = v_3\left(9 \cdot \frac{4}{5}\right) = 2$$

$$\begin{aligned} v_p(x) &:= k \text{ if } x = p^k \frac{a}{b} \\ &\text{and } p \nmid ab \\ v_p(0) &:= \infty \end{aligned}$$

$$v_p(a \cdot b) = v_p(a) + v_p(b)$$

$\exists M \in \mathbb{N}$  s.t. for all  $n > M$   $\exists$  prime  $p$  s.t.

$$v_p(a_n) \neq 0 \quad \text{and} \quad v_p(t) = 0$$

$$\langle m_n \rangle_{n=0}^{\infty} \quad m_0 = 1 \quad r(x) = \frac{(x + \frac{9}{2})(x + \frac{7}{2})(x + \frac{5}{2})}{(x + \frac{11}{2})(x + 4)(x + 1)}$$

$$m_n = 1 \cdot \prod_{k=1}^n \frac{(k + \frac{9}{2})(k + \frac{7}{2})(k + \frac{5}{2})}{(k + \frac{11}{2})(k + 4)(k + 1)}$$

$$\langle u_n \rangle_{n=0}^{\infty}$$

$$u_0 = 1$$

$$r(x) = \frac{(x + \frac{9}{2})(x + \frac{7}{2})(x + \frac{5}{2})}{(x + \frac{11}{2})(x + 4)(x + 1)}$$

$$u_n = 1 \cdot \prod_{k=1}^n \frac{(k + \frac{9}{2})(k + \frac{7}{2})(k + \frac{5}{2})}{(k + \frac{11}{2})(k + 4)(k + 1)}$$

$$N_p(u_n) = \sum_{k=1}^n \left[ \left( N_p(k + \frac{9}{2}) + N_p(k + \frac{7}{2}) + N_p(\frac{5}{2}) \right) - \left( N_p(k + \frac{11}{2}) + N_p(k + 4) + N_p(k + 1) \right) \right]$$

$$\langle u_n \rangle_{n=0}^{\infty}$$

$$u_0 = 1$$

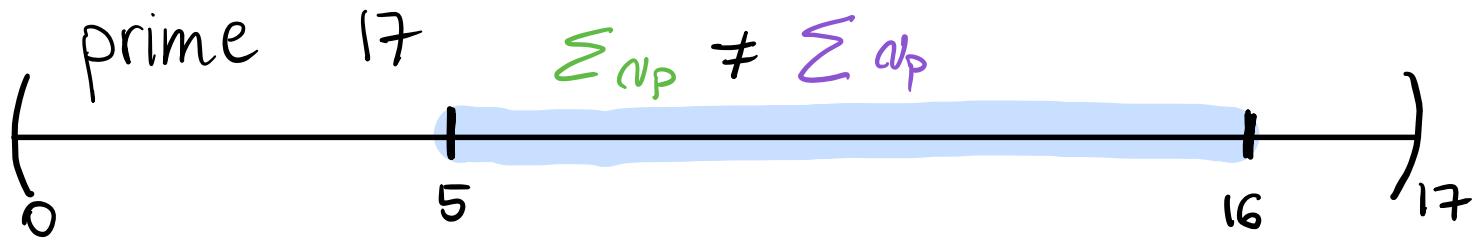
$$r(x) = \frac{(x + \frac{9}{2})(x + \frac{7}{2})(x + \frac{5}{2})}{(x + \frac{11}{2})(x + 4)(x + 1)}$$

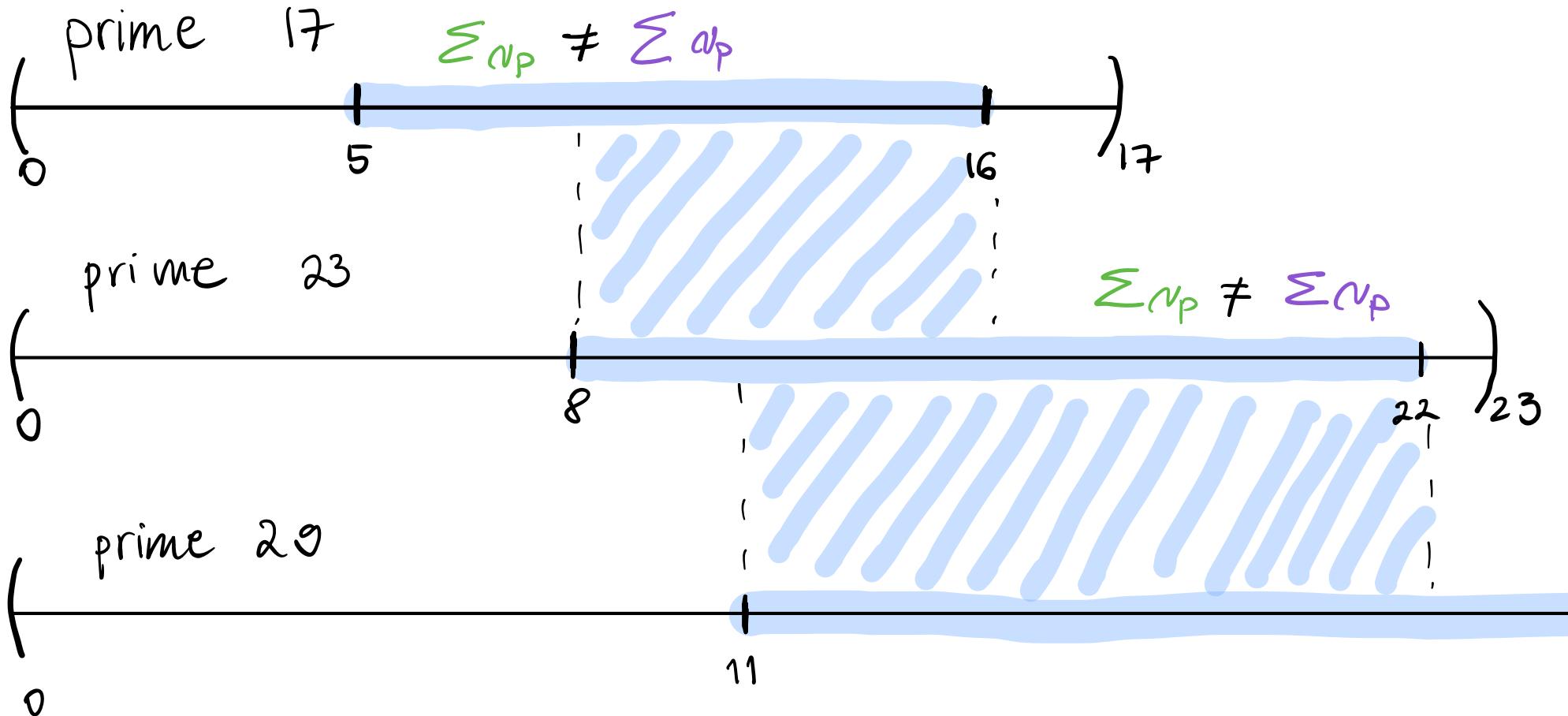
$$u_n = 1 \cdot \prod_{k=1}^n \frac{(k + \frac{9}{2})(k + \frac{7}{2})(k + \frac{5}{2})}{(k + \frac{11}{2})(k + 4)(k + 1)}$$

$$N_p(u_n) = \sum_{k=1}^n \left[ \left( N_p(k + \frac{9}{2}) + N_p(k + \frac{7}{2}) + N_p(\frac{5}{2}) \right) - \left( N_p(k + \frac{11}{2}) + N_p(k + 4) + N_p(k + 1) \right) \right]$$

prime 17







$t_n > 5$ ,  $u_n \neq t \rightarrow$  check only  $\{u_0, u_1, u_2, u_3, u_4\}$

# The result

Thm.

- The Membership Problem for hypergeometric sequences with rational parameters is decidable.

Proof:

$p$ -adic valuations + results on the density of primes

# The result

Thm.

The Membership Problem for hypergeometric sequences with rational parameters is decidable.

Proof:

p-adic valuations + results on the density of primes

Ongoing work:

general algebraic parameters